



Privacy Policy

As a client of Brazos Financial Advisors, LLC, you share both personal and financial information with us. We understand that you value your privacy, and want you to know that we are absolutely committed to safeguarding the confidential information of all current, former, and potential clients. Personal information provided to our firm is always held in the strictest of confidence.

Information We Collect

We retain records relating to professional services that we provide so that we are better able to assist you with your financial matters, and, in some cases, to comply with professional guidelines or the requirements of the law. The types of non-public information we compile vary according to the services that we perform for you, but generally include information that we receive from you verbally or via questionnaires, information provided by your other advisers, and data from statements and other documents you give to us.

How We Manage and Protect Your Information

We will never sell your personal information to anyone, nor will we share your personal information with mailing list vendors or solicitors for any purpose whatsoever.

We employ procedural safeguards, and maintain a secure office and computer environment to ensure that your information is not placed at unreasonable risk.

We restrict access to information about you to only those employees who need to know it in order to provide the services you have requested, and to nonaffiliated parties only as permitted by law. For example, federal regulations permit us to share a limited amount of information about you so that our firm can discuss your financial situation with your accountant or lawyer.

For unaffiliated third parties that require access to your personal information, including financial service companies, consultants, and auditors, we also require strict confidentiality in our agreements with them and expect them to keep this information private. Federal and state regulators may also review your records as permitted under law.

We will retain and safeguard your personally identifiable information during the time you are a client, and for as long thereafter as may be required by law and the CFP Board Code of Ethics and Professional Responsibility. After this obligatory retention period, all such information will be appropriately destroyed.

Notices and Additional Information

Federal legislation (the Graham-Leach-Bliley Act) requires us to annually communicate our privacy policies to you in writing. In addition, we will inform you promptly if there are any changes to these policies.

Your privacy, our professional ethics, and the ability to provide you with quality financial services are very important to us. If you have any questions or would like any additional information about our privacy policy, please contact Jeff Eschman at 713.474.2254.